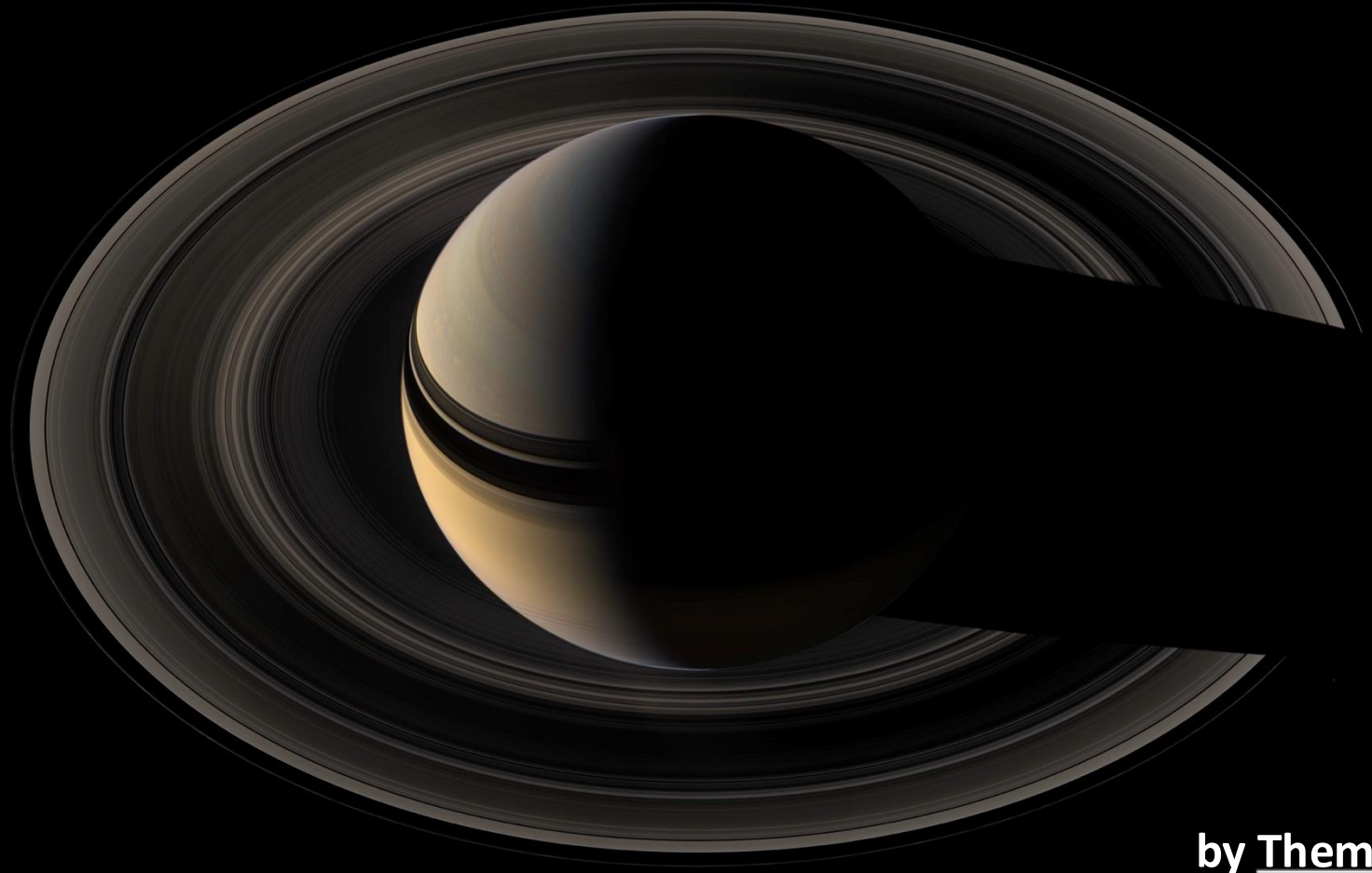


# Nonlinear Optimization Technique for the Homogenization of Metamaterials from Scattering Parameters



by Themos Kallos  
Department of Materials Science - University of Patras  
Metamaterial Technologies Inc.

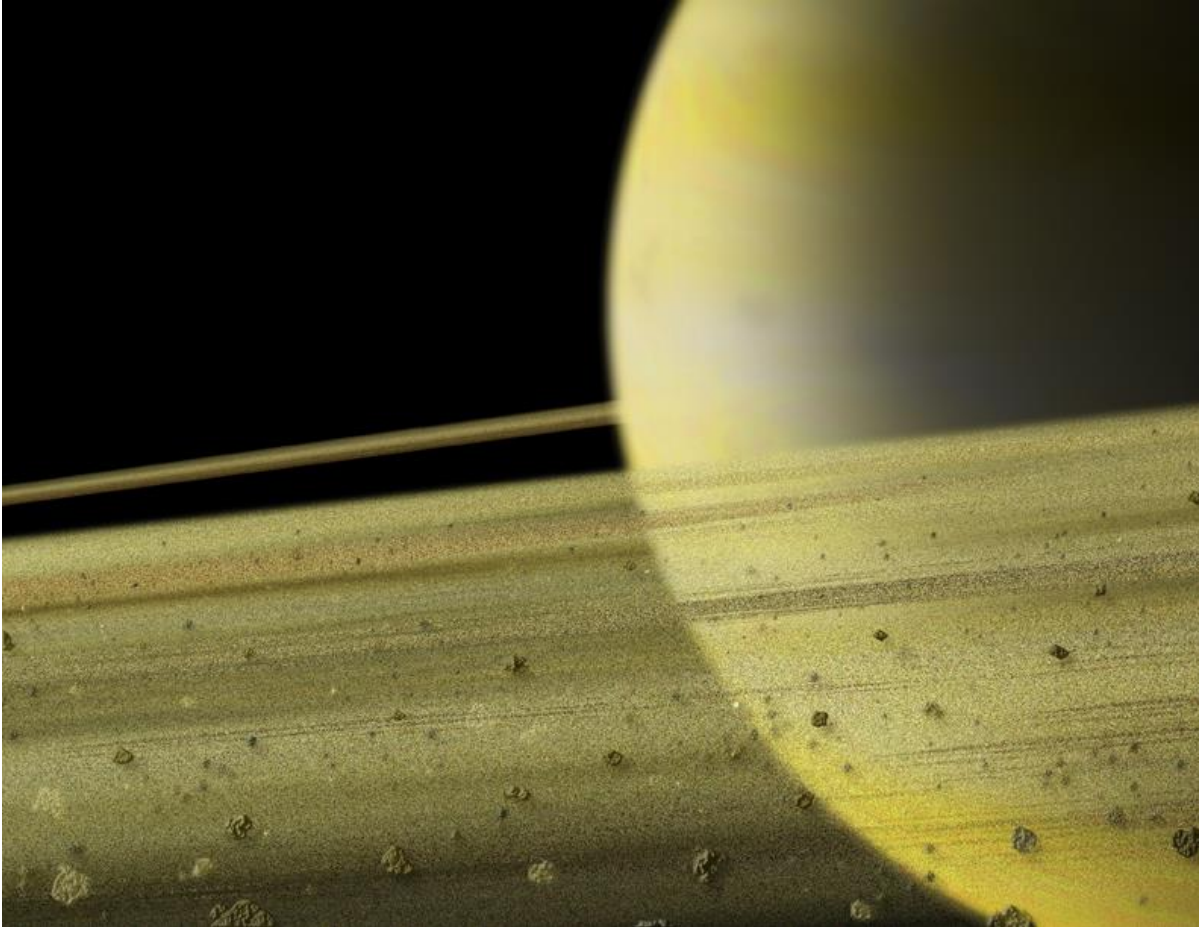
3 things you  
(probably)  
didn't know about  
James Clerk Maxwell











# Today's Menu

- Apéritif
  - **Homogenization of Periodic Structures**
- Entrée
  - **S-parameters & Field Distributions**
- Le plat principal
  - **A nonlinear optimization algorithm**
- Le fromage
  - **Examples for optical silver nanorods**
- Le dessert

# Acknowledgements



ΠΑΝΕΠΙΣΤΗΜΙΟ  
ΠΑΤΡΩΝ  
UNIVERSITY OF PATRAS

- **Vassilios Yannopapas**
- **Emmanouil Paspalakis**
- **George Kallos**
- **George Palikaras**



**European Union**  
European Social Fund



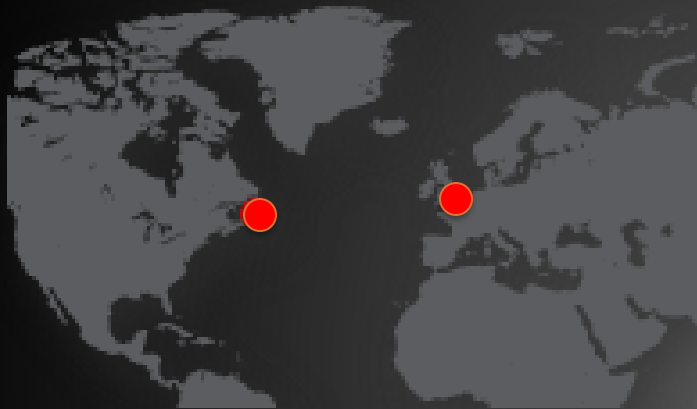
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M A N A G I N G   A U T H O R I T Y

**Co- financed by Greece and the European Union**





Mastering Light



- Launched in 2010
- 5 + 25 people
- \$1.3m of federal support
- 4 optical metamaterial patents

LAMDA  GUARD  
by Metamaterial Technologies Inc.

LAMDA  LUX  
by Metamaterial Technologies Inc.

LAMDA  SOLAR  
by Metamaterial Technologies Inc.

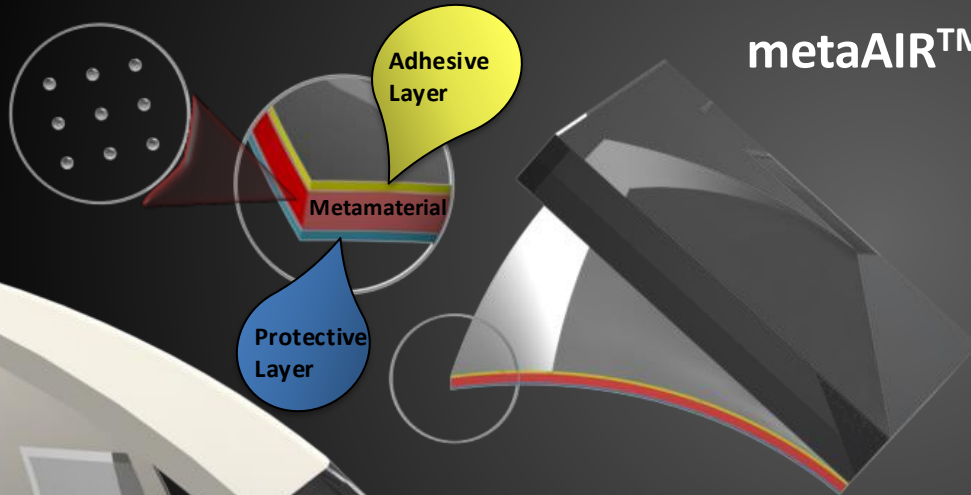
[metamaterialtech.com](http://metamaterialtech.com)





metaVISORS™

metaAIR™



MTI Areas of interest:

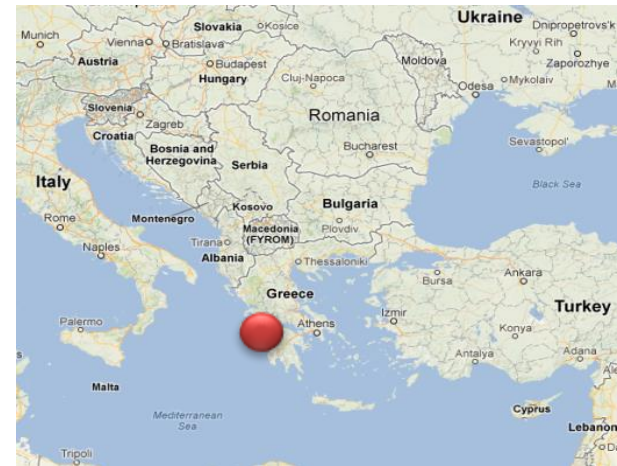
- ✓ Laser filtering
- ✓ Light enhancement (LED)
- ✓ Absorption enhancement (solar panels)
- ✓ Optical metamaterials



\* Color for illustration purposes only  
\* Patent pending

# University of Patras

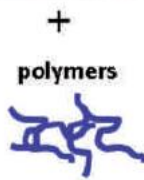
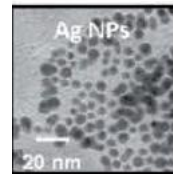
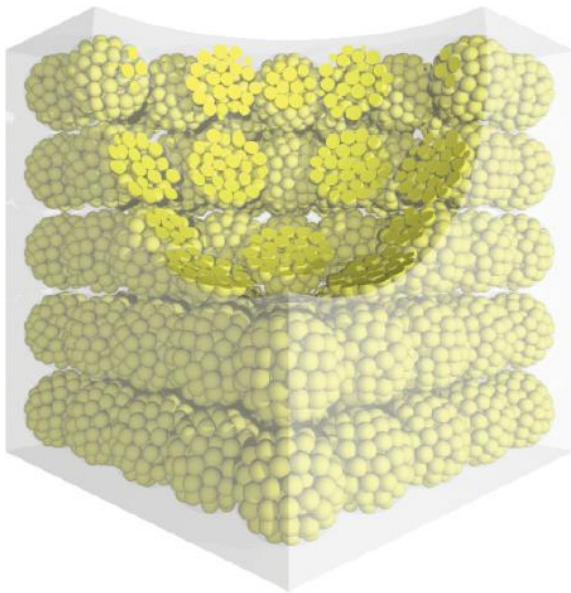
## Department of Materials Science



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ΠΑΤΡΩΝ  
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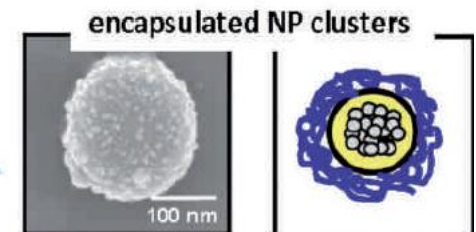
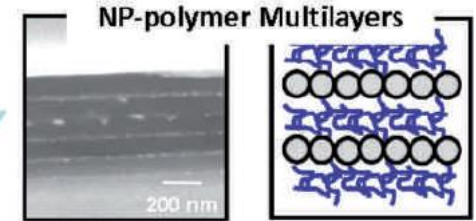


# Gold Nanoclusters

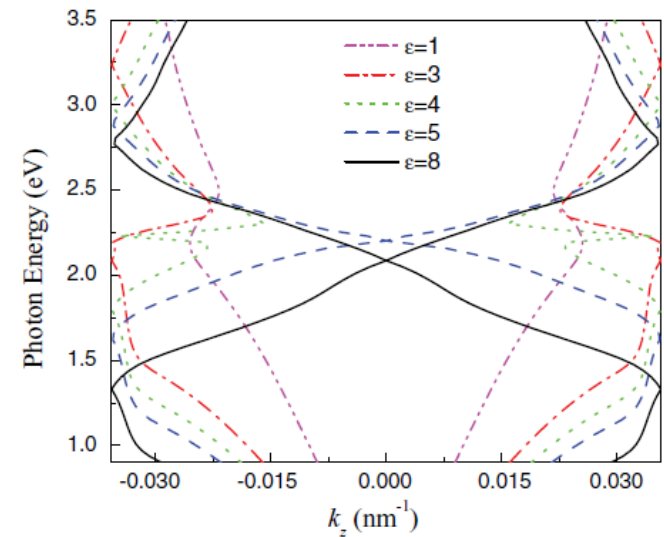


SPIN-COATING

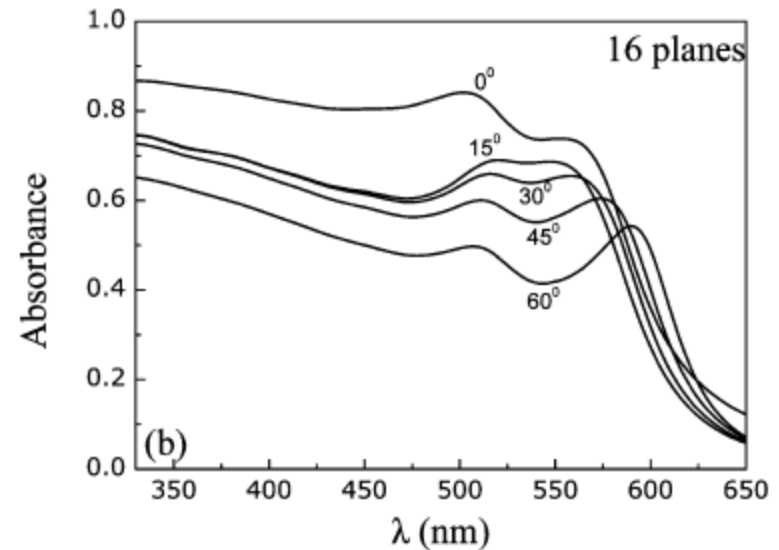
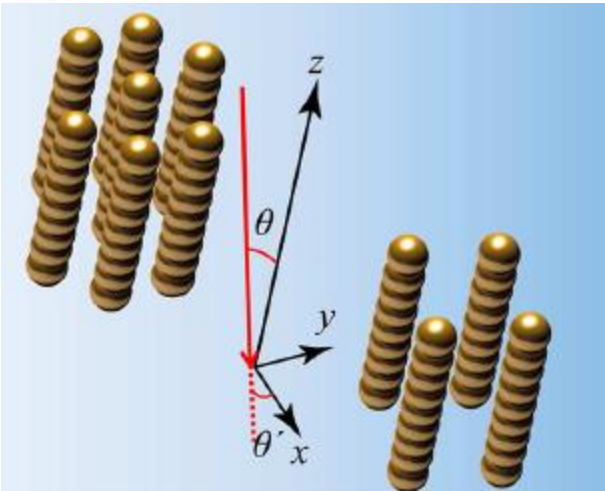
EMULSION



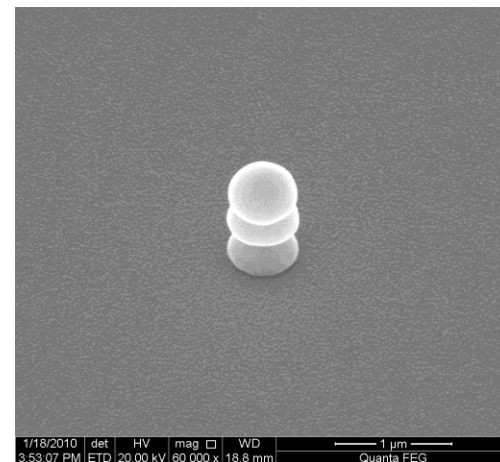
- Gold Nanoparticle radius 9 nm
- Cluster radius 43 nm
- Negative Index meta-metamaterial
- Dirac point



# Nanostring Super-absorbers



- Hexagonal lattice of gold nanostrings
- Period 10 nm
- Embedded in nematic liquid crystal
- 3 nm diameter
- Gray body: 79% absorption over all angles and polarizations



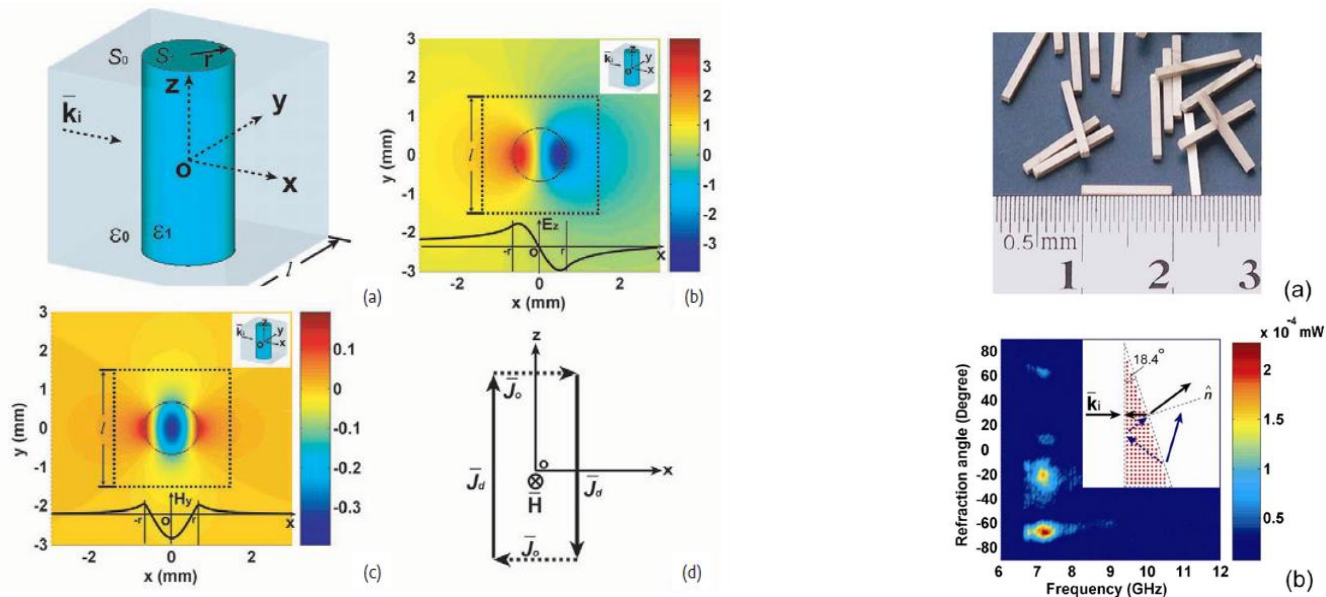




# Apéritif

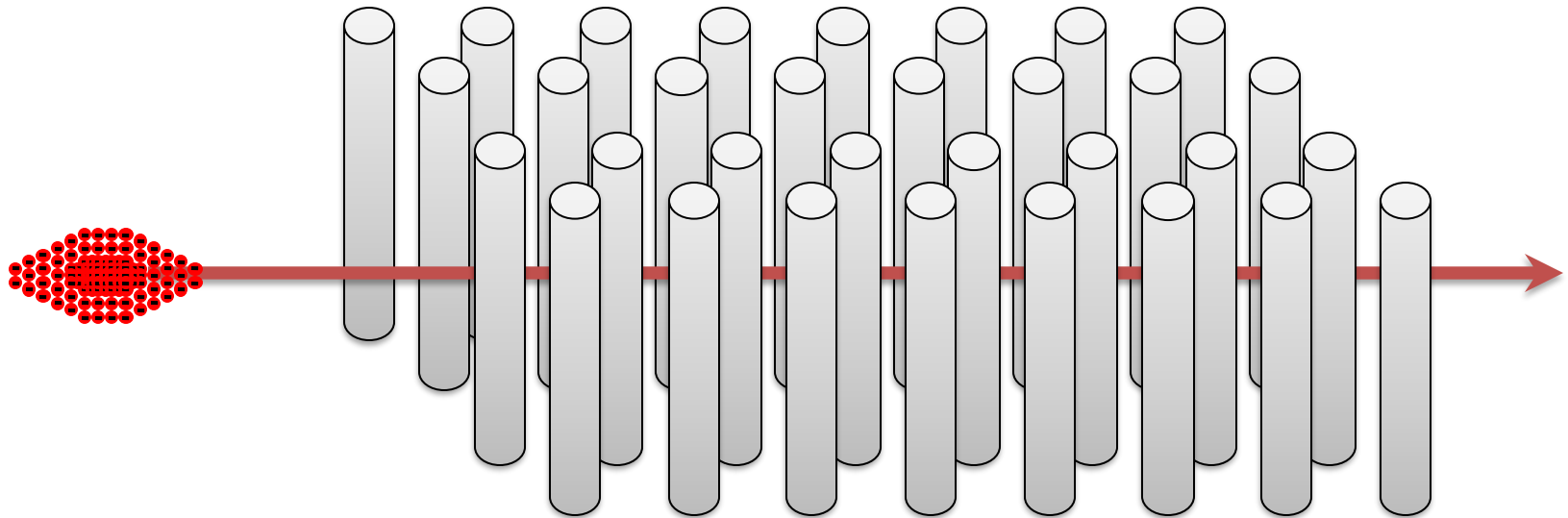
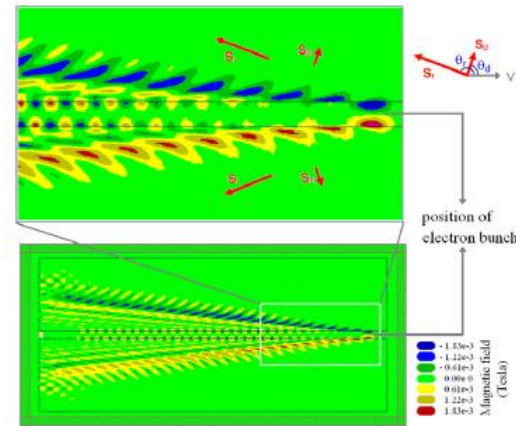
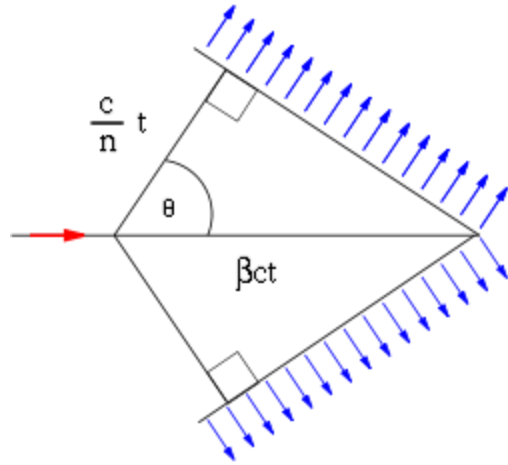
## All-Dielectric Metamaterials

# Cylindrical Dielectric Resonators

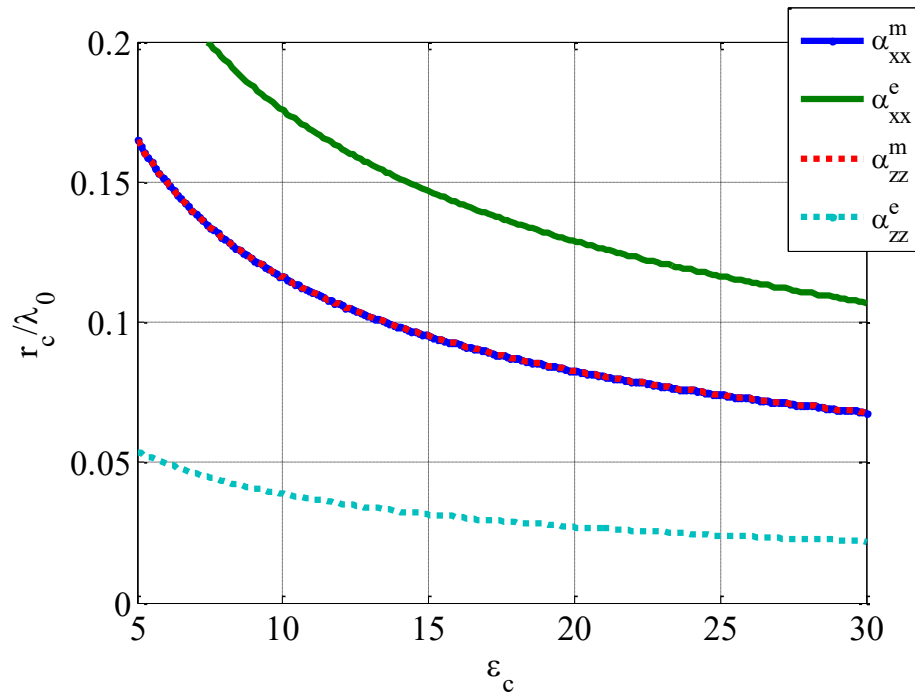
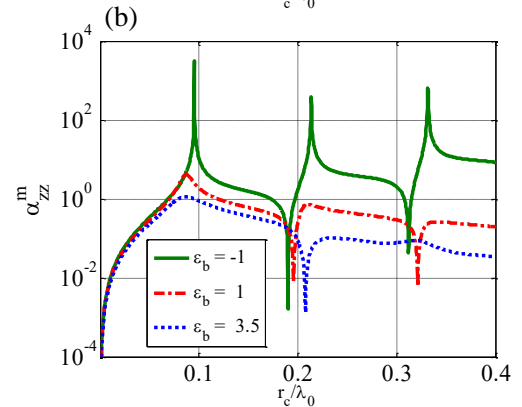
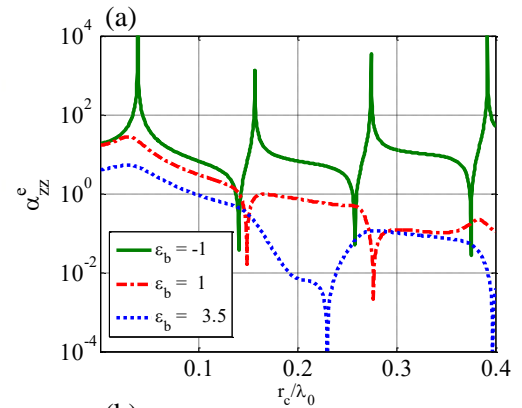
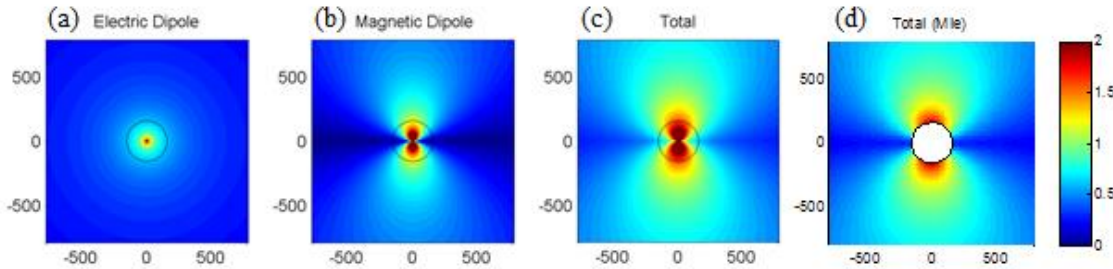


- Avoid plasmonic losses
- Physical principle: polarization currents
- Need high- $\epsilon$  materials (e.g. Si at optical frequencies)
- Anisotropic response
- $\rightarrow$  Retrieve effective medium parameters

# Inverse Cherenkov Radiation



# Resonance Hunter



- $r_c = 158$  nm cylinder
- Lossless Silicon ( $\epsilon=18$ )
- $\lambda=4*r_c$



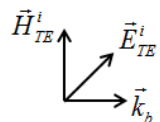
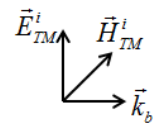


# Entrée

## S-parameters & Homogenization

# Homogenization

## NRW Method

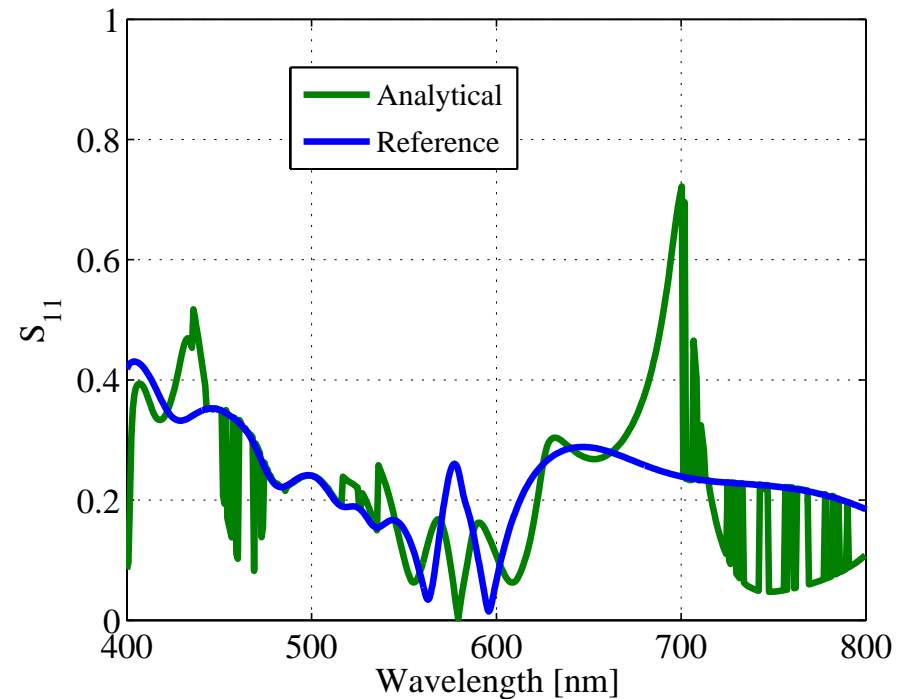
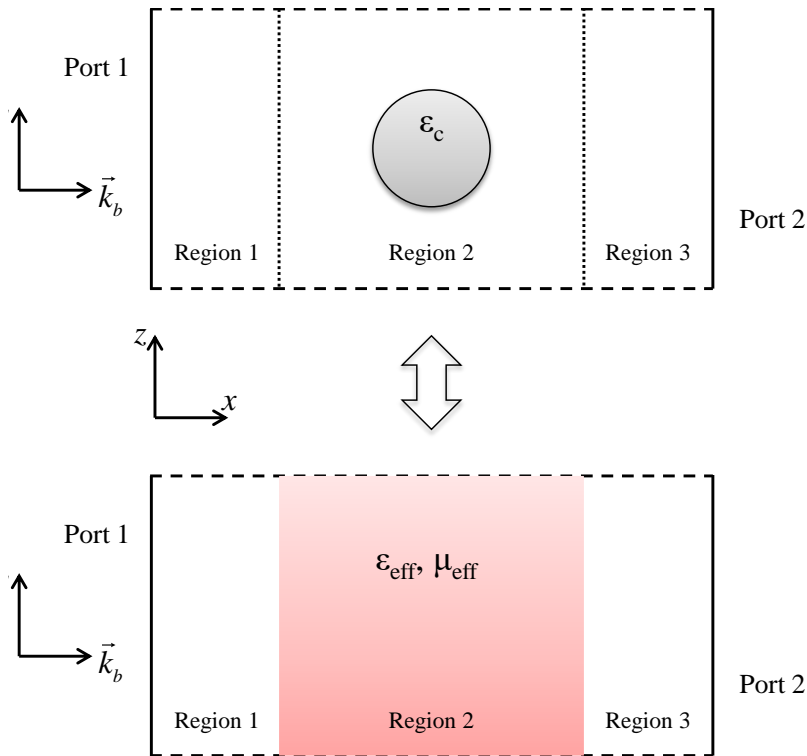


$$\text{Re}(n) = \pm \text{Re} \left( \frac{\cos^{-1} \left( \frac{1}{2t'} [1 - (r^2 - t'^2)] \right)}{kd} \right) + \frac{2\pi m}{kd}$$

$$\text{Im}(n) = \pm \text{Im} \left( \frac{\cos^{-1} \left( \frac{1}{2t'} [1 - (r^2 - t'^2)] \right)}{kd} \right)$$

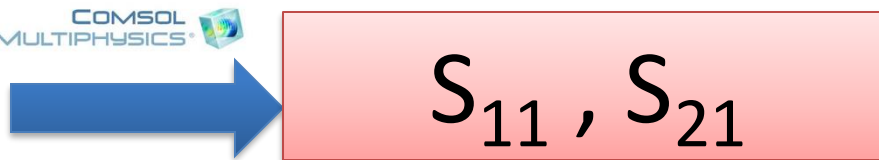
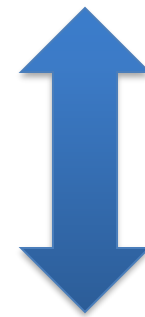
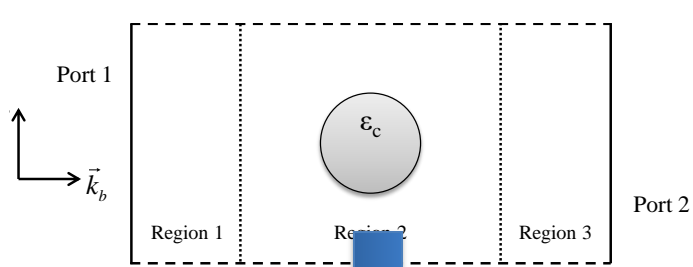
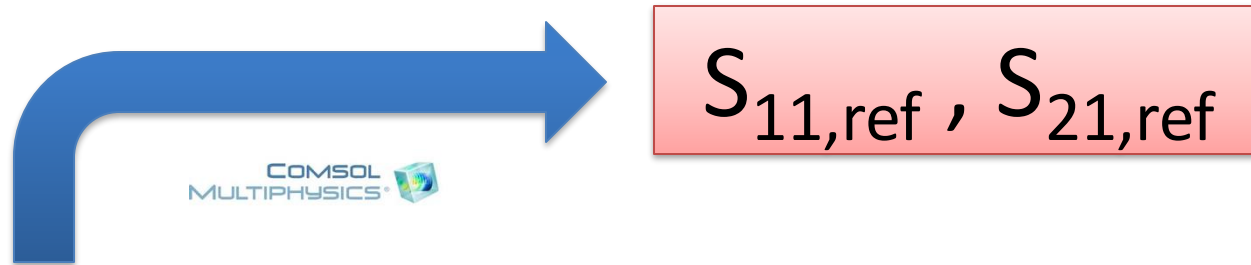
# Analytical NRW

## Silver nanorod columns, TM plane waves



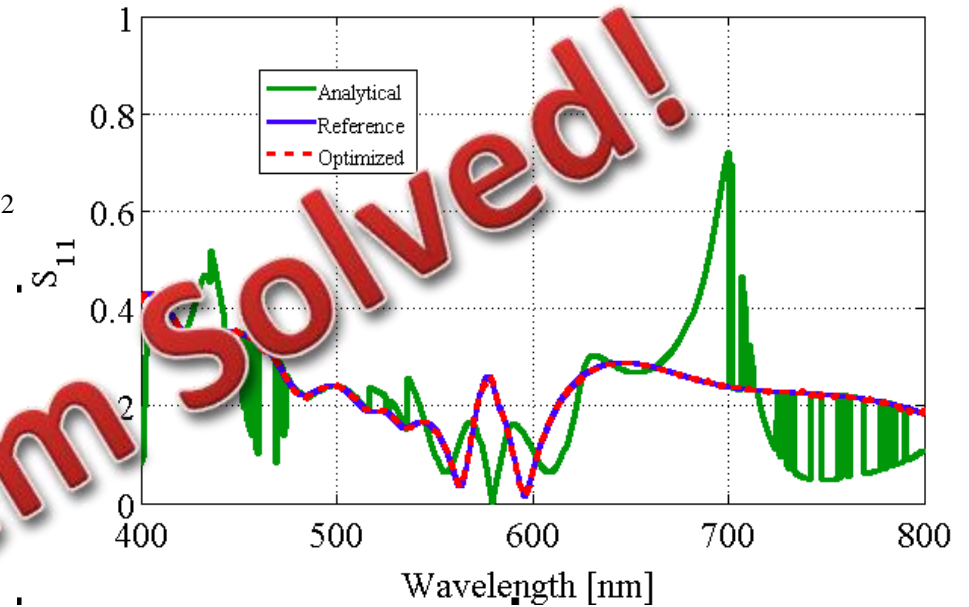
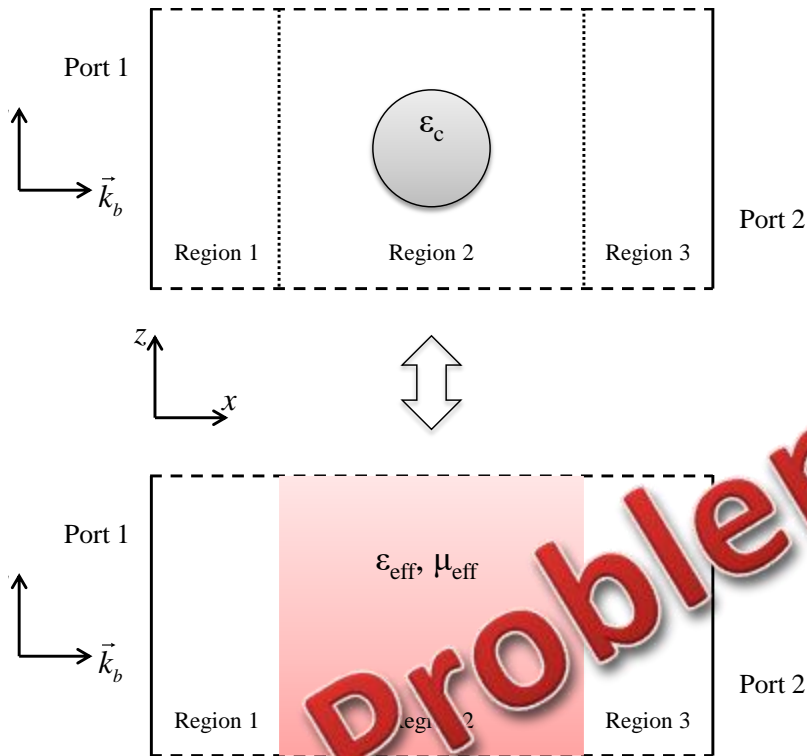
- Column of  $r_c = 158$  nm cylinders
- Drude Silver
- 600 nm x 600 nm unit cell

# A New Approach



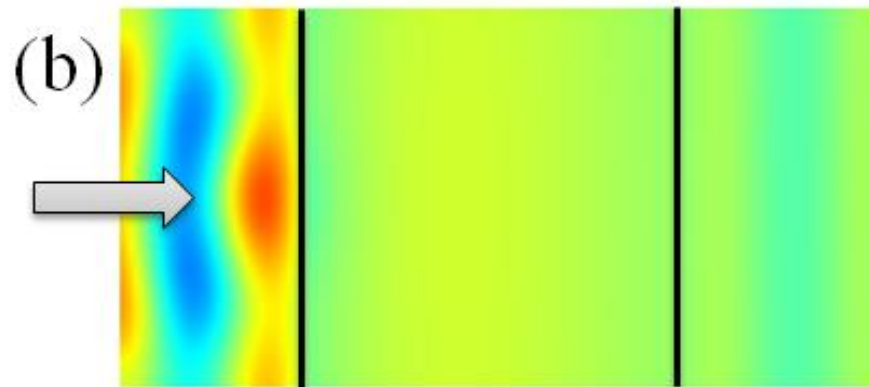
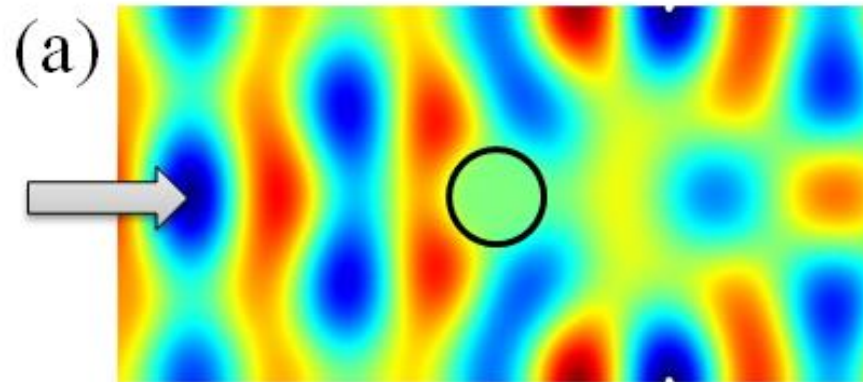


# Optimization Results

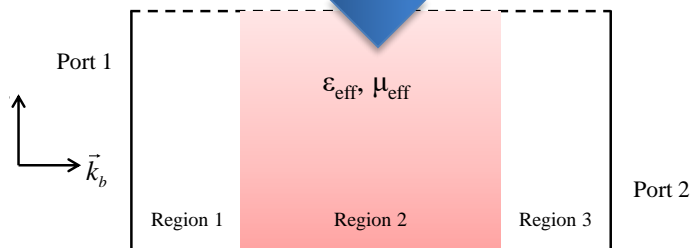
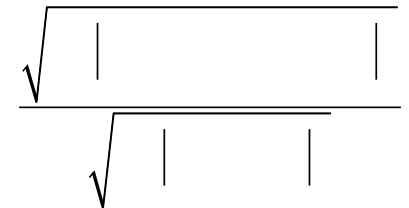
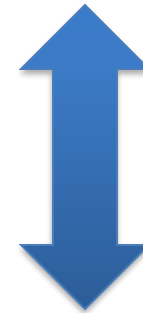
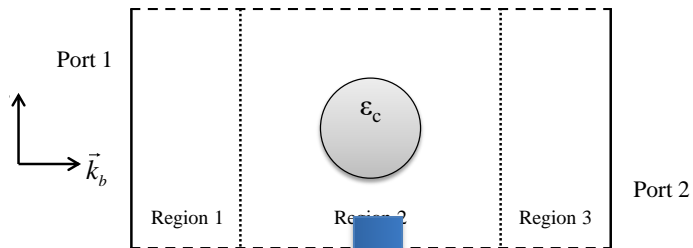
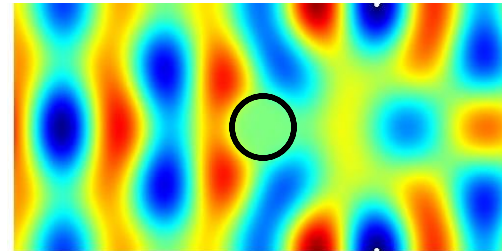


# But...

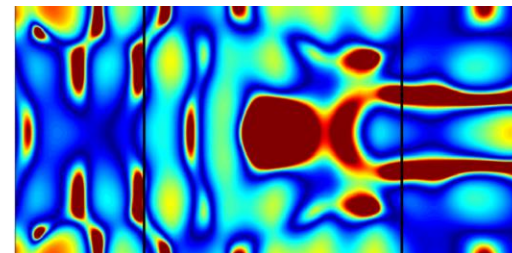
## TM Fields at 500nm



# Field Optimization



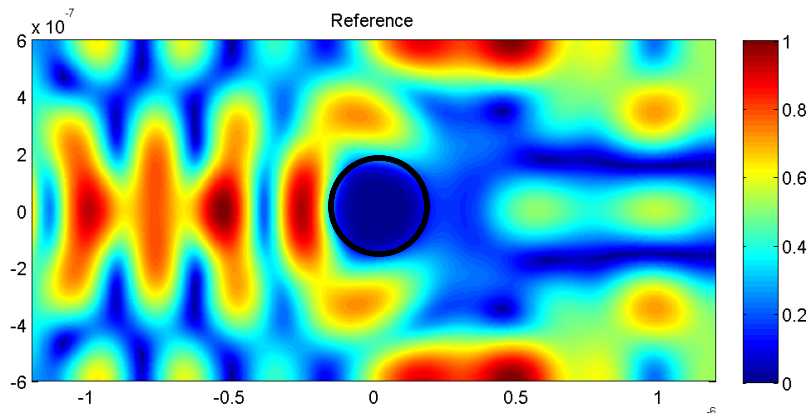
COMSOL MULTIPHYSICS



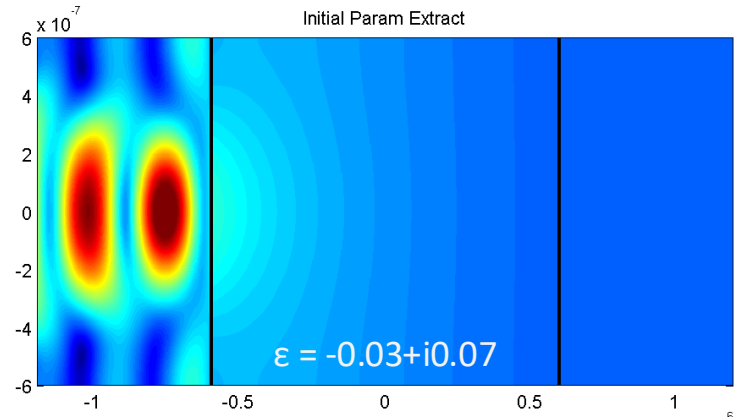
# Field Optimization Results

TE waves at 500 nm

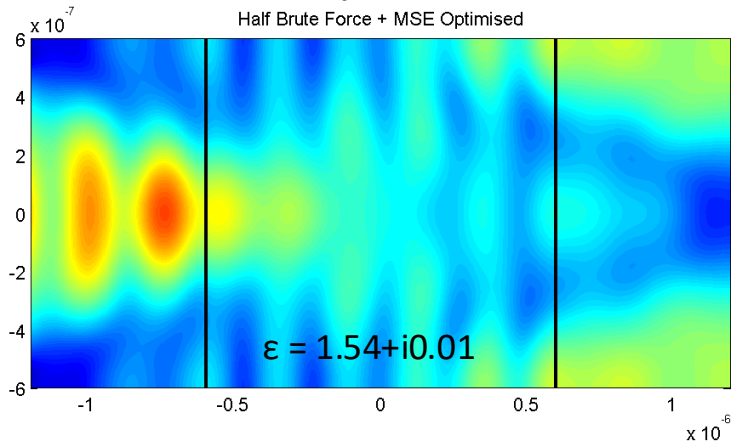
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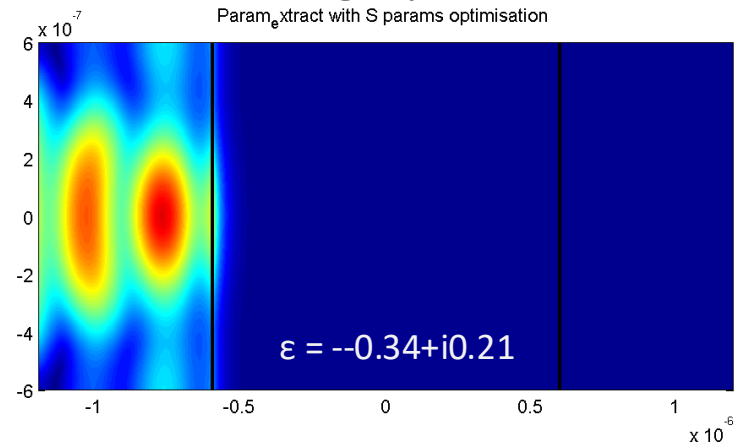
## NRW



## Field Optimization

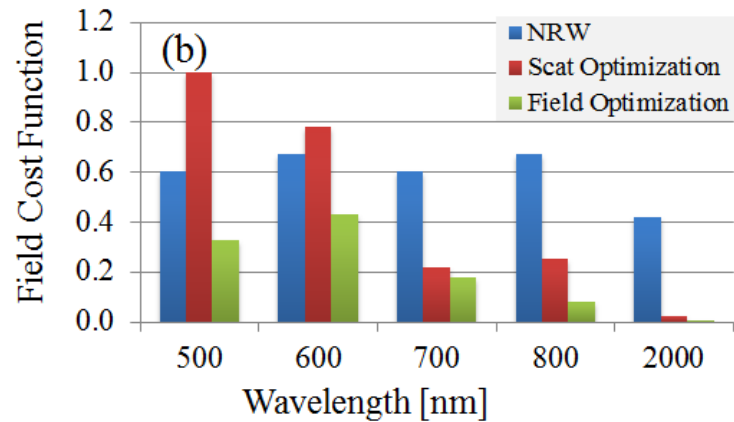
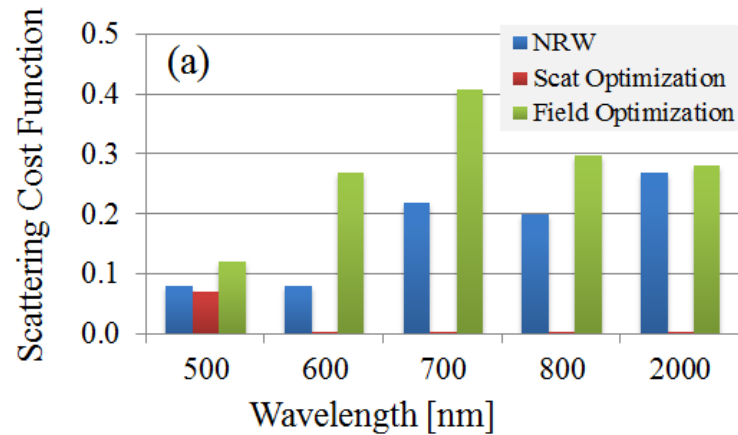


## Scattering Optimization





# Scattering Parameters vs. Fields

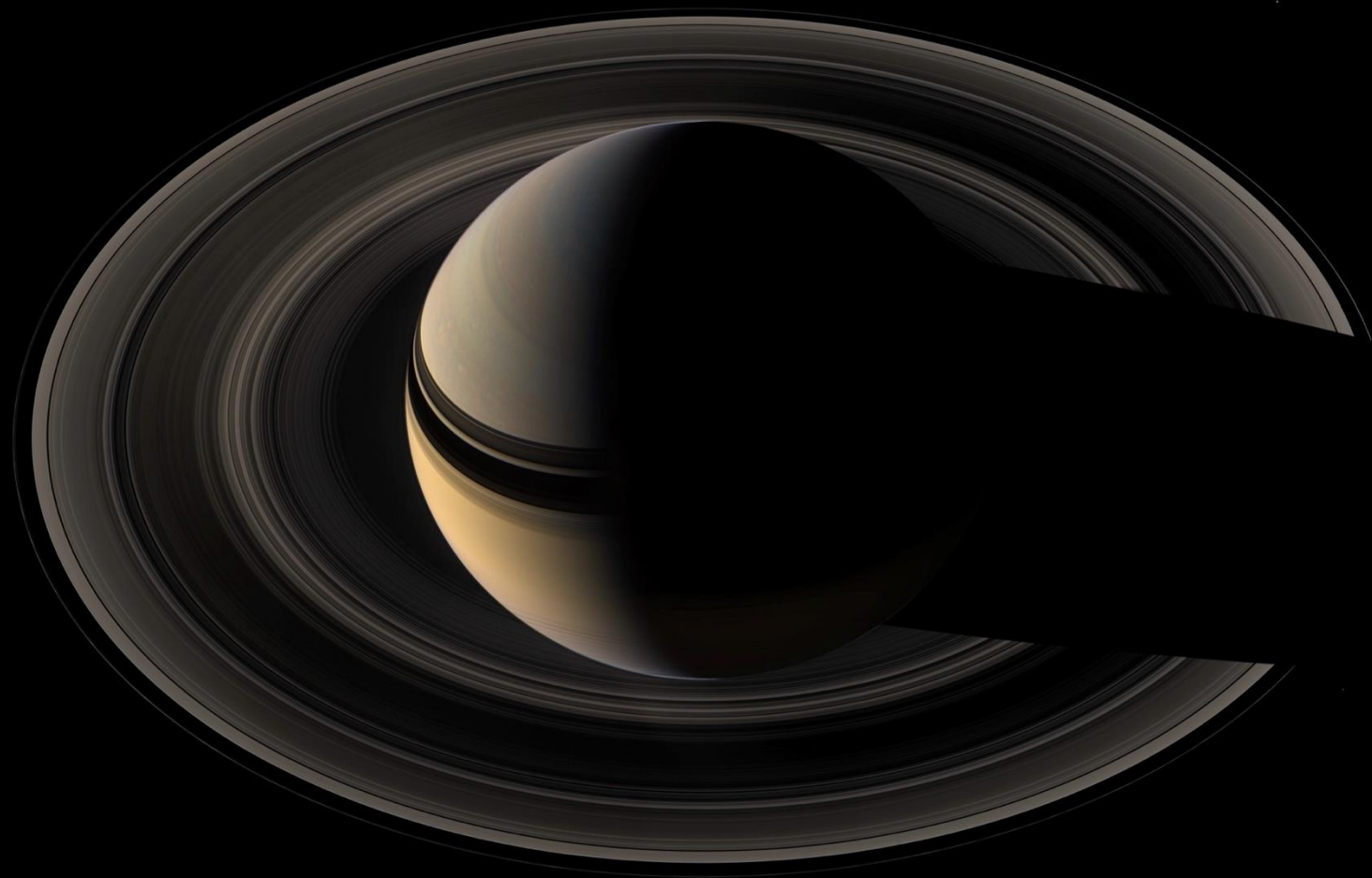


# Summary

- Homogenization using nonlinear optimization
- Comparing estimated vs. reference parameters
- Scattering optimization works for S-parameters, but...
- ... leads to non-realistic field distributions
- Field optimization algorithms
- Expand to 3D, multi-angle, complex structures

A group of people is gathered in a room, possibly a restaurant or event space. The image is dimly lit and has a purple overlay. The text "Dessert Back to Saturn" is centered in the purple area.

**Dessert  
Back to Saturn**





# Thank You!

**ekallos@upatras.gr**  
**themos.kallos@lamdaguard.com**

**timaras.com**  
**lamdaguard.com**



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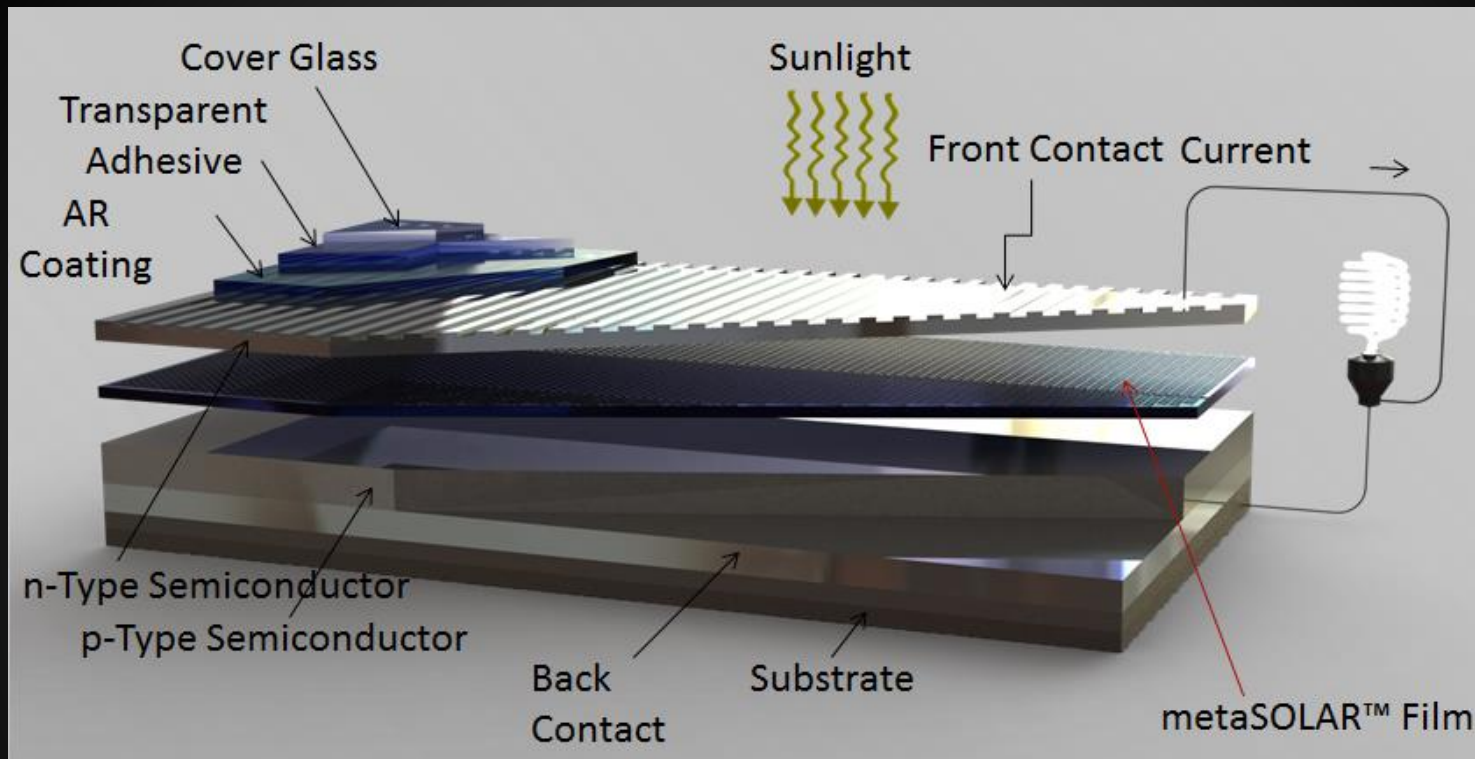


EUROPEAN SOCIAL FUND



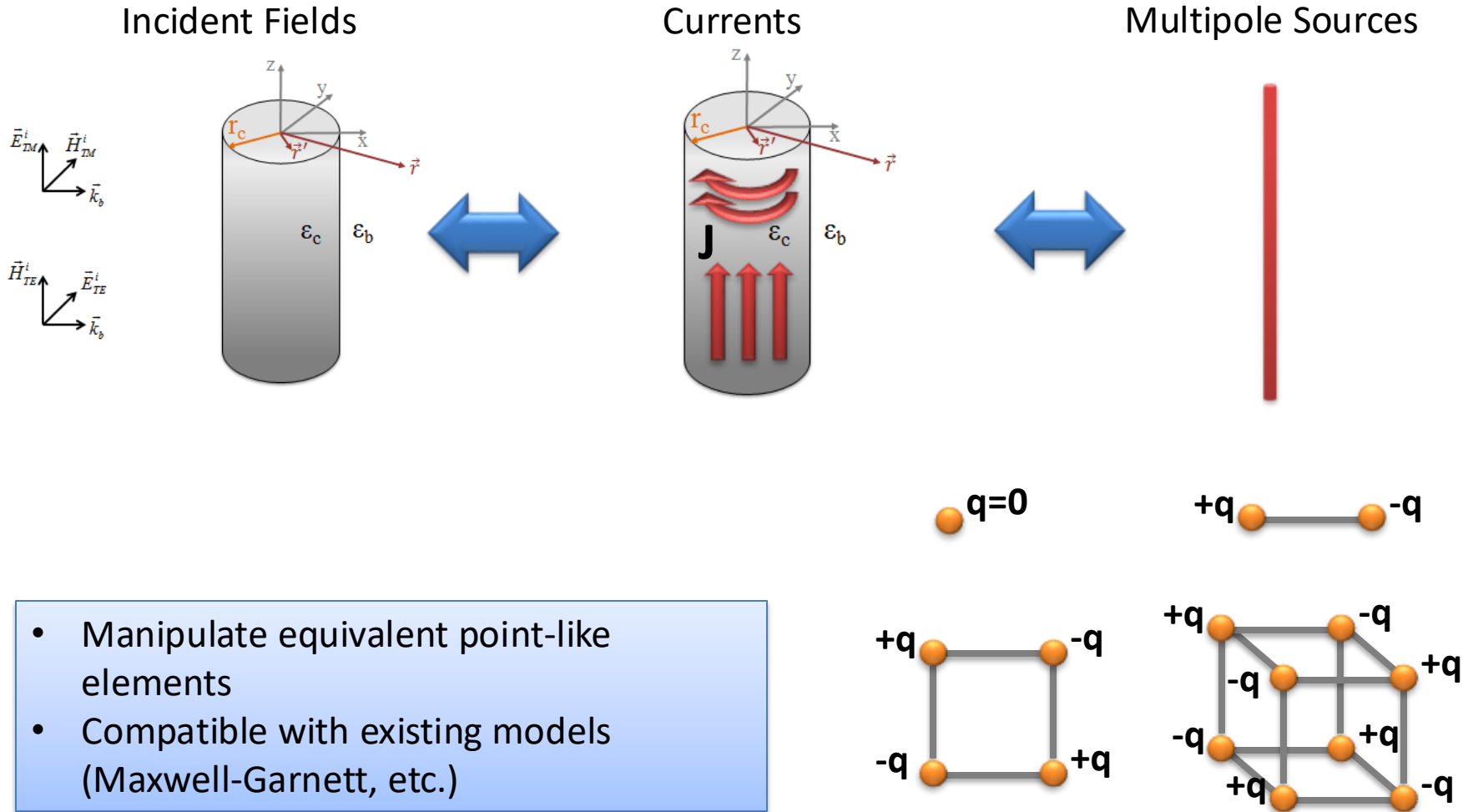
**METAMATERIAL  
TECHNOLOGIES INC.**

Mastering Light



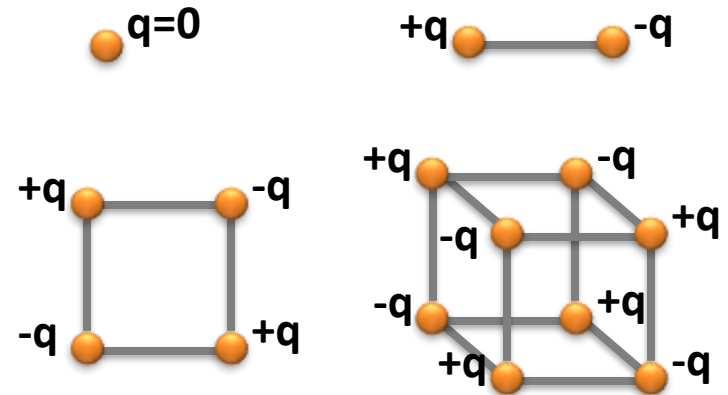
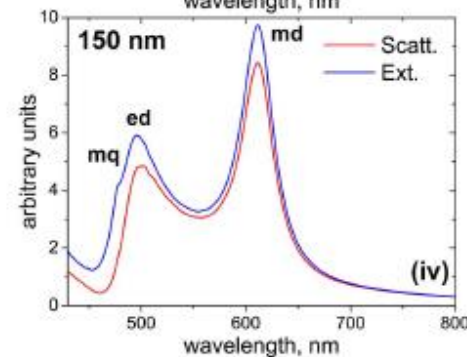
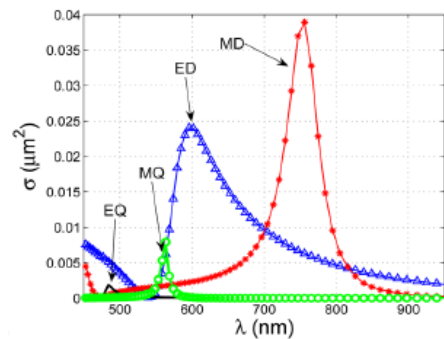
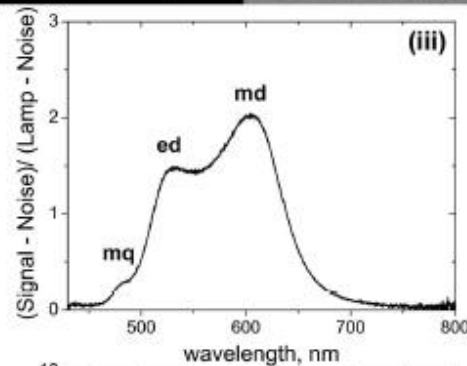
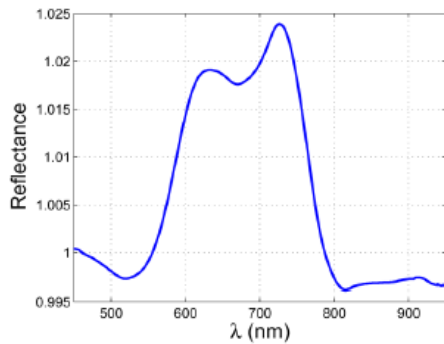
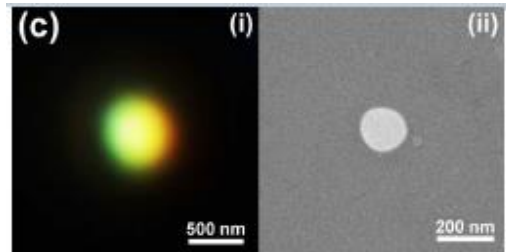
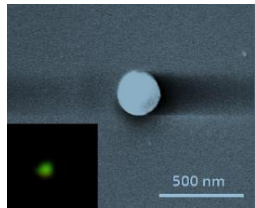
- ✓ A nano-structured metamaterial that is inserted after the glass in solar panels which will potentially enhance their efficiency by as much as 200%.

# Principle of Multipole Expansion



- Manipulate equivalent point-like elements
- Compatible with existing models (Maxwell-Garnett, etc.)

# Verified for Si Nanoparticles





$$\vec{J}_0 + \vec{J}_1 = \frac{iK^2}{4\epsilon_0} \cdot \frac{1}{c_0} \vec{L} \vec{m} \times \hat{n} + \frac{iK^2}{4\epsilon_0 c_0} \vec{p} c_0$$

$$= \frac{iK^2}{4\epsilon_0 c_0} \left( \vec{p} c_0 + \vec{L} \vec{m} \times \hat{n} \right) =$$

$$\frac{1}{m^2} \cdot \frac{m}{F} \cdot \frac{Y}{m} \cdot c_0 \cdot \frac{m}{s}$$

$$\vec{E} = \frac{iK^2}{4\epsilon_0 c_0} \left[ \vec{p} c_0 + \vec{L} \vec{m} \times \hat{n} \right] \sqrt{\frac{2}{n}} e^{-i\omega t} \frac{e^{ikr}}{\sqrt{r}}$$

$$Z_0 = \sqrt{\frac{\mu_0}{\epsilon_0}} = Z_0 / \sqrt{\epsilon_0}$$

$$\frac{1}{\epsilon_0 c_0} = \frac{1}{\epsilon_0 \cdot \frac{c}{\sqrt{\epsilon_0 \mu_0}}} = \frac{\sqrt{\epsilon_0}}{\epsilon_0 \cdot \frac{1}{\sqrt{\epsilon_0 \mu_0}}} = \frac{\sqrt{\epsilon_0}}{\frac{\epsilon_0}{\sqrt{\epsilon_0 \mu_0}}} = Z_0 \sqrt{\epsilon_0}$$

$$Z_0 \sqrt{\epsilon_0} = \sqrt{\frac{\mu_0}{\epsilon_0}} \cdot \sqrt{\epsilon_0} = \frac{\sqrt{\epsilon_0 \mu_0}}{\sqrt{\epsilon_0}} = \mu_0 = 1/Z_0$$

$$\vec{E} = \sqrt{\epsilon_0} \cdot Z_0 \cdot \frac{iK^2}{4} \left[ \vec{p} c_0 + \vec{L} \vec{m} \times \hat{n} \right] \sqrt{\frac{2}{n}} e^{-i\omega t} \frac{e^{ikr}}{\sqrt{r}}$$

$$\vec{H} = -\frac{1}{Z_0} \vec{E} \times \hat{n} = -\frac{\sqrt{\epsilon_0}}{Z_0} \cdot \sqrt{\epsilon_0} \cdot Z_0 \cdot \frac{iK^2}{4} \left[ \vec{p} c_0 \times \hat{n} + (\vec{L} \vec{m} \times \hat{n}) \times \hat{n} \right]$$

$$= \epsilon_0 \frac{iK^2}{4} \left[ (\hat{n} \times \vec{p} c_0) + 2(\hat{n} \times \vec{m}) \times \hat{n} \right] \sqrt{\frac{2}{n}} e^{-i\omega t} \frac{e^{ikr}}{\sqrt{r}}$$

$$\vec{E} = Z_0 \frac{iK^2}{4} \left[ \vec{p} c_0 + \vec{L} \vec{m} \times \hat{n} \right]$$

$$\vec{H} = \frac{iK^2}{4} \cdot \hat{n} \times \vec{p} c_0 + \vec{L} \vec{m} \times \hat{n}$$

$$\vec{H} = \frac{iK^2}{4} \vec{m} \cdot \hat{n}$$

$$\vec{E} = -Z_0 \frac{iK^2}{4} \cdot \hat{n} \times \vec{m} \cdot \hat{n}$$

$$\vec{E} = \sqrt{\epsilon_0} Z_0 \frac{iK^2}{4} \left( \vec{p} c_0 + \vec{L} \vec{m} \times \hat{n} \right) \sqrt{\frac{2}{n}} e^{-i\omega t} \frac{e^{ikr}}{\sqrt{r}}$$

$$\vec{H} = \epsilon_0 \frac{iK^2}{4} \left( \hat{n} \times \vec{p} c_0 + 2(\vec{L} \vec{m} \times \hat{n}) \times \hat{n} \right) \sqrt{\frac{2}{n}} e^{-i\omega t} \frac{e^{ikr}}{\sqrt{r}}$$

$$\text{Let } \vec{E} = h \cdot (b_0 + 2b_1 \cos \theta) \hat{z}$$

$$\hat{z} b_0 = \sqrt{\epsilon_0} Z_0 \frac{iK^2}{4} \cdot c_0 \cdot \vec{p} \rightarrow \left( \sqrt{\epsilon_0} \cdot \frac{iK^2}{4} \cdot \frac{c_0}{\sqrt{\epsilon_0 \mu_0}} \right)$$

$$= \frac{iK^2}{4\epsilon_0} \vec{p} \rightarrow (2 \cos \theta + i \sin \theta) \times (m_x \hat{x} + m_y \hat{y}) = 2 \cos \theta m_x - 2 m_y \sin \theta$$

$$2b_1 \cos \theta \hat{z} = -\sqrt{\epsilon_0} Z_0 \frac{iK^2}{4} \vec{L} \vec{m} \times \hat{n} = -2 \sqrt{\epsilon_0} Z_0 \frac{iK^2}{4} m_y \cos \theta \hat{z} = -2 \epsilon_0 Z_0$$

$$Z_0 \vec{m} = -\hat{y} \frac{4\epsilon_0}{iK^2 \epsilon_0}$$

# Multipole Expressions

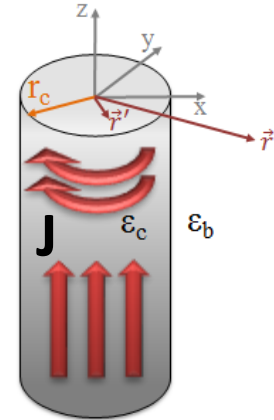
$$\square \underline{E}_{TM} / Z_b = +k_b^2 \hat{z} \left[ p_z c_b G + 2m_j jG' \right]$$

$$\square H_{TM} = +2k_b^2 \left[ \hat{n} m_n \left( G + G'' \right) + \hat{f} m_j \left( G + \frac{G'}{k_b r} \right) \right] + k_b^2 \hat{f} \left[ p_z c_b jG' \right]$$

$$\square \underline{E}_{TE} / Z_b = +k_b^2 \left[ \hat{n} p_n c_b \left( G + G'' \right) + \hat{f} p_j c_b \left( G + \frac{G'}{k_b r} \right) \right] - k_b^2 \hat{f} \left[ m_z jG' \right]$$

$$- \frac{k_b^2}{2} \left[ \hat{n} W Q_{nn} \left( G' + G''' \right) + \hat{n} W Q_{jj} \left( \frac{G''}{k_b r} - \frac{G'}{(k_b r)^2} \right) + \hat{f} W Q_{nj} \left( G' - \frac{2G'}{(k_b r)^2} + \frac{2G''}{k_b r} \right) \right]$$

$$\square H_{TE} = -k_b^2 \hat{z} \left[ p_j c_b jG' - m_z G - \frac{j}{2} W Q_{nj} \left( G'' - \frac{G'}{k_b r} \right) \right]$$



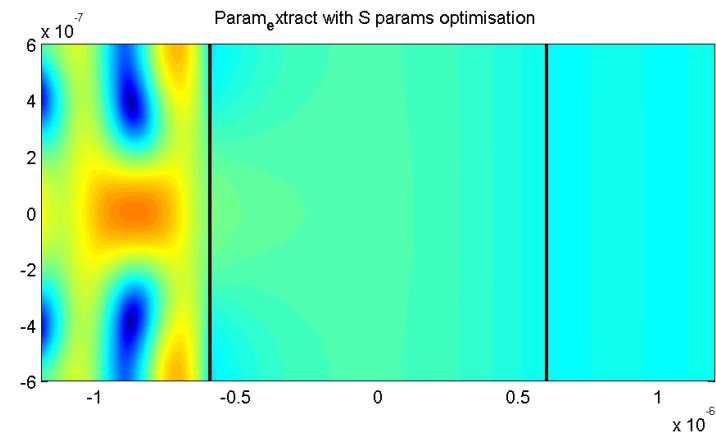
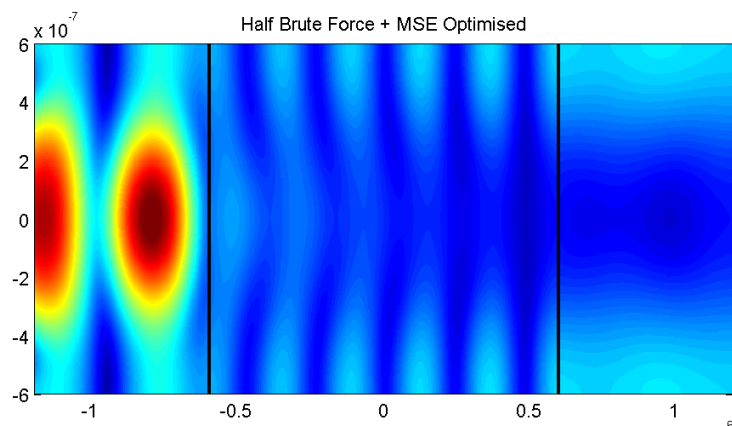
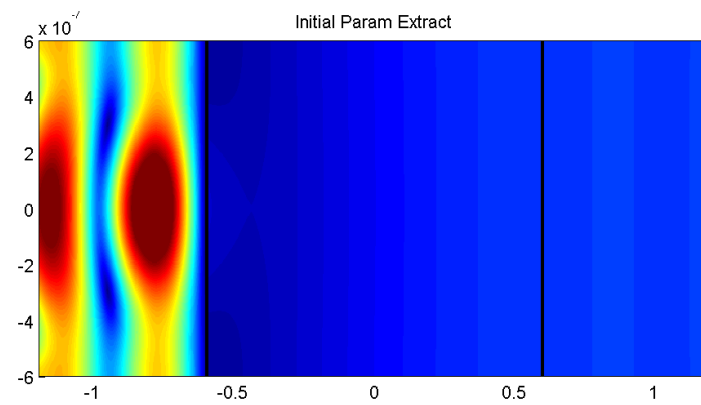
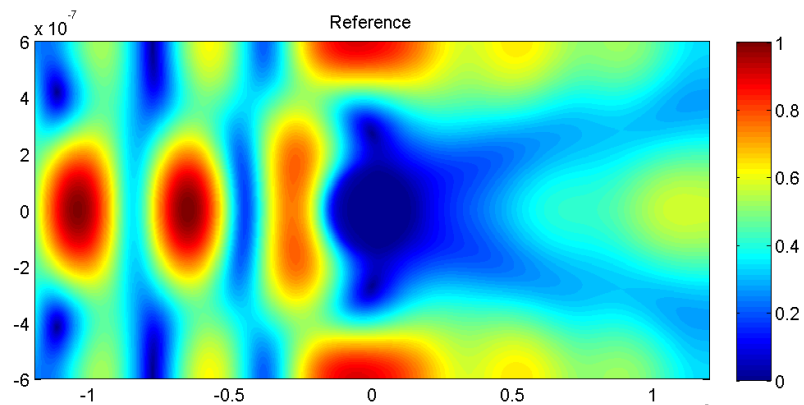
$$\square \underline{E}_{3D} / Z = +k^3 \left[ \left( \hat{n} \cdot \square pc \right) \hat{n} G'' + \left( \square pc \right) G + \left( \hat{n} \times \square m \right) jG' \right]$$

$$\square H_{3D} = -k^3 \left[ \left( \hat{n} \cdot \square m \right) \hat{n} G'' + \square m G + \left( \hat{n} \times \square pc \right) jG' \right]$$

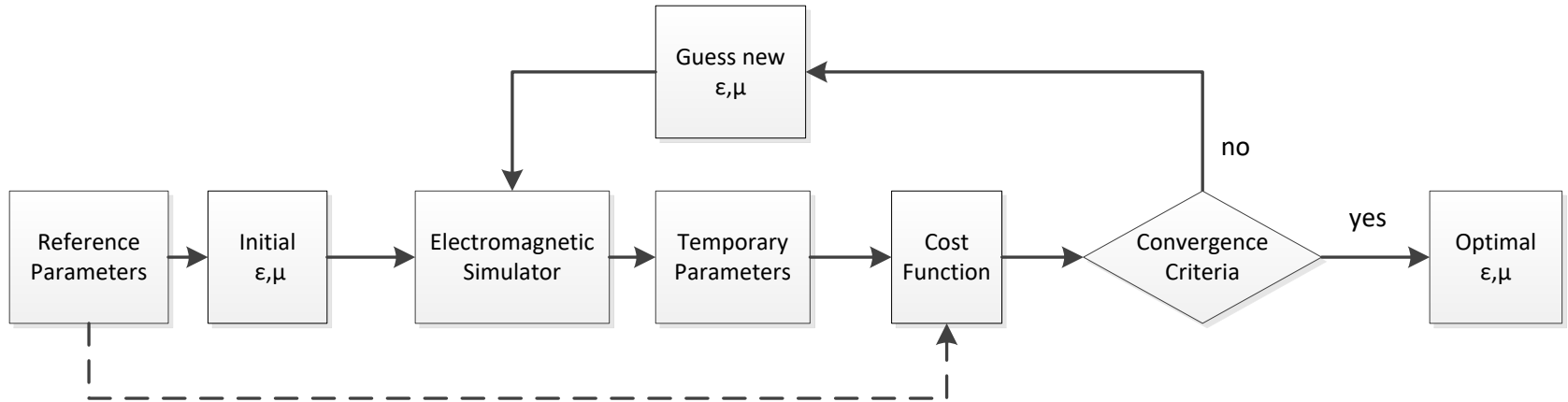


# H<sub>2</sub> Field Optimization Results

700 nm



# A New Approach



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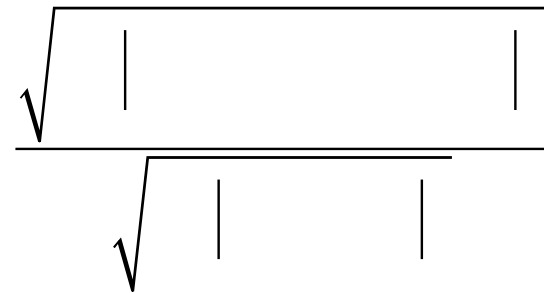
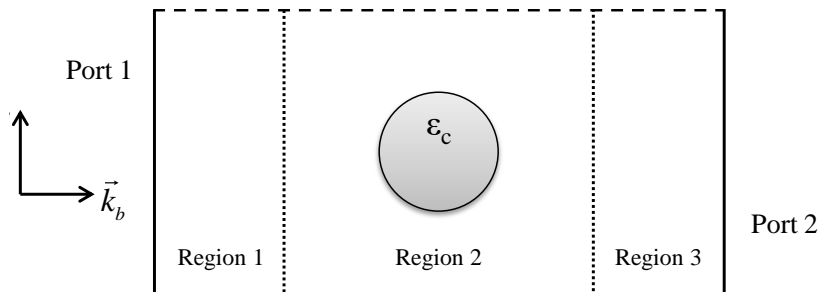
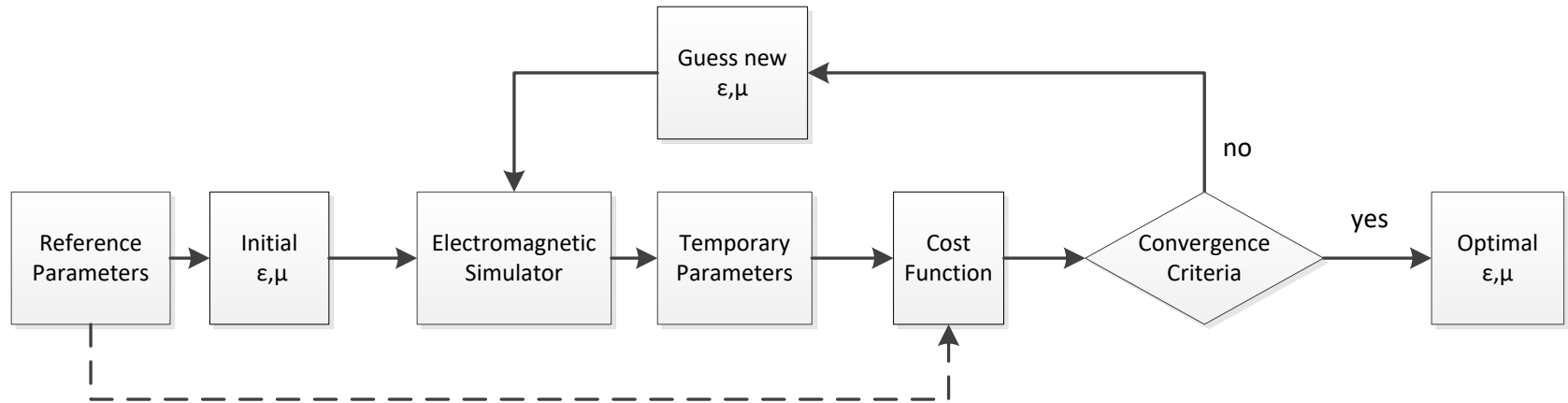
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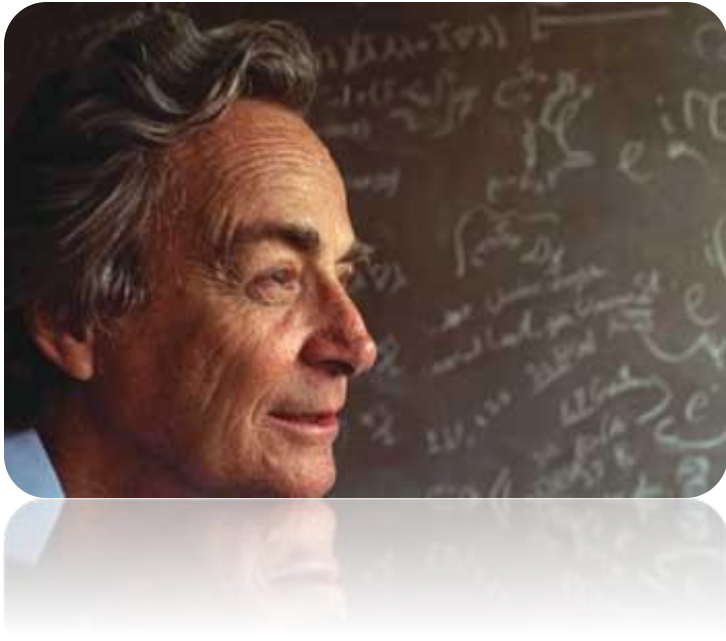
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# The Algorithm Strikes Back







*“I can’t see what exactly would happen,  
but I can hardly doubt that when we have some control of the arrangement of things in the small scale,  
we will get an enormously greater range of possible properties that substances can have.”*

*1959*

# The Hype Curve

